

Telecom and Video/Cable Company Common Questions for IUB 24/7

Why do I need to create a user profile and company record in IUB 24/7?

The Iowa Utilities Commission (IUC) has streamlined a variety of administrative records into a single system, known as IUB 24/7.1 Having a company profile will allow the company to maintain current information and associate contacts. This record will streamline the IUC's need to interact with the entities it regulates and invoice for associated costs.

Who needs to create a company record?

All companies that file or do business with the IUC must create a company profile to ensure that the IUC has the most up-to-date company, contact, and billing information. If the company needs to file in the electronic filing system (EFS), submit applications, submit utility annual reports, report the Dual Party Relay Service (DPRS) assessment, or in general do business with the IUC, it must sign up in IUB 24/7 to receive an IUC company number.

IUB 24/7 can be searched to see if a company already exists by logging in and going to the Search Companies page in IUB 24/7. Public (no account) users can search companies by going to IUB247.iowa.gov and clicking Search Companies on the homepage.

Who can create and update a company record in IUB 24/7? Can a consultant manage a company record on behalf of a client company?

The Company Administrator role has permission within IUB 24/7 to create or edit the company record; add, edit, or remove company contacts; and assign permissions to the company's associated contacts. The Company Administrator can also assign another employee or consultant the role of Company Administrator. A company record in IUB 24/7 can have multiple Company Administrators.

Users can request these roles by submitting a Company Access Request in IUB 24/7 (must be logged in) or contacting staff at ITsupport@iuc.iowa.gov. There is also a Consultant Guide in the User Resources section in IUB 24/7.

What regulatory compliance forms, reports, or processes are being moved into IUB 24/7 for telecommunications providers in lowa?

IUB 24/7 is intended to replace a series of other administrative records already maintained by the IUC. It offers users a streamlined approach to updating information, carrying out certain reporting obligations, and interacting with the IUC on certain matters. For the telecommunications industry, including cable/video providers, IUB 24/7 will capture the information previously collected via individual forms for such things as company numbers, annual reports, registration, DPRS assessment reports, and accounting.

For companies that are required to register under lowa Code 476.95A, the IUB 24/7 company record now serves as a company's annual telecommunications registration, in compliance with 476.95A.

¹ The Iowa Utilities Board was renamed Iowa Utilities Commission on July 1, 2024; however, the IUB 24/7 database name did not change.

The IUB 24/7 company record also allows the company to maintain contact information and submit the line count reports required for the DPRS annual assessment.

Additionally, telecommunications companies that are required to file annual reports will not need a form separate from their company record, meaning the TC-1 (formally LEC and IXC) annual report form no longer need to be filed in EFS. The company record contains the information that was previously entered on the TC-1 form and filed in the M docket in EFS.

Why is the company profile being updated annually, and how does that relate to filing of the IUC annual reports?

While every company is required to have a company profile in IUB 24/7, only some companies have a requirement to file annual reports, comply with registration requirements, or file the DPRS assessment report. The IUC has aligned the annual company record update with the IUC annual report timeline; however, this does not expand the reporting obligations for those that don't have to comply with that reporting requirement.

Can a company request an extension of the deadline to file its annual update and reports, if applicable?

Per the IUC's Iowa Administrative Code rules, a company must complete the utility annual report by April 1 of each year. The company also must review and update its company record by April 1 annually.

For additional time, the company will have to file a request in the company's M-docket in EFS. To file a request for additional time, contact ITsupport@iuc.iowa.gov or call 515-725-7337.

How often do I need to update information in my company record? How do I make a revision to my company profile?

Outside the annual update, updates should be made to the company record in IUB 24/7 when contact or company information changes. Companies can submit edits to their company profile at any time in IUB 24/7; the IUC reviews revisions as they are submitted. Please note, some types of updates may require notice to or approval by the IUC in a docket filing made in EFS before the change can be reflected in the company's profile in IUB 24/7.

Can a company revise an annual report or revenue information after submission?

Your company can submit edits to its company profile at any time in IUB 24/7; the IUC reviews revisions as they are submitted. However, annual reports are locked on December 31 of each calendar year; after that time, companies will not be able to revise the previous year's report information.

If you need to update information for a prior year's report, contact customer service at ITsupport@iuc.iowa.gov.

If a company is no longer providing business in lowa, does it still need to maintain a company profile? File an annual report?

If your company elected to go inactive with the IUC in the previous calendar year because it no longer has any regulated business under the IUC's authority, your company does not need to submit an annual report or an annual update of the company profile for that year after electing "inactive" status.

How does a company go inactive with the IUC?

While your company is active in IUB 24/7, you will need to edit your company record in IUB 24/7 and select the submission type "Inactivate," which will notify the IUC of your request to be made inactive as a company. The IUC will then evaluate whether you have certain credentials to relinquish, such as a registration, Eligible Telecommunications Carrier (ETC) designation, certificate of franchise authority for cable/video service, or a certificate of public convenience and necessity (CPCN) (obtained prior to

sunset in 2018). The IUC will also review your accounting records to determine whether you have any unpaid invoices and pending assessments.

If your company later wishes to become active or re-register with the IUC, the company will need to re-submit its company record in IUB 24/7 by editing the company record and selecting "Reactivate," filling out required fields, and clicking "Update" at the end of the profile to submit the request to the IUC. Depending on the service offerings indicated, the company may also need to file in a docket in EFS to obtain any credentials previously relinquished or now needed.

If your company did not transition to IUB 24/7 and you would like to request to be made inactive or cancel your registration with the IUC because you are no longer providing service in Iowa, you will need to file the request in your company's M docket (M-companynumber) in EFS.

Registering under Iowa Code

How does IUB 24/7 change the requirements for telecommunications service providers to register under lowa Code 476.95A?

Under Iowa Code 476.95A, a provider of telecommunication service, as defined in Iowa Code 476.103, must register annually with the IUC. The requirement for certain provider types to register has not changed, but the method to register has been streamlined. The company profile in IUB 24/7 will now satisfy this requirement, eliminating the need for the separate form previously used by those carriers required to register.

How long does it take to approve my registration in IUB 24/7?

The registration requirement is now satisfied once a company profile is approved. Once the company record is deemed complete, the IUC has five business days to review and approve registration requests, per Iowa Code 476.95A.

How do I deactivate or withdraw my registration with the IUC?

Because the company profile now satisfies the requirement to register for certain carrier types, the company should first determine if it is seeking to completely deactivate its company profile or merely needs to update the company profile to reflect a different business offering that no longer needs to use the company record to satisfy the registration requirement.

To make revisions or deactivate the entire profile, the company admin should log in to IUB 24/7 and edit the company profile. You should select the submission type "Inactivate" or, if the company is still operating in Iowa but not offering the type of services that are required to be registered pursuant to Iowa Code 476.95A, you can make that change in the telecommunications services section in the company record.

Dual Party Relay Service (DPRS) Assessment

What types of providers are required to submit a DPRS report?

lowa Code chapter 477C requires an assessment from all wireless carriers and wire-line local exchange carriers that provide telecommunications service in the state; therefore, a report is required to determine the assessment due.

How long does a company have to submit its annual DPRS report and payment, if applicable?

Each year, reports become available in January and are due by April 1. Upon IUC review of the submitted DPRS report, an invoice will be emailed to the company billing contact listed in the company record. All payments are due upon receipt of the invoice and are considered delinquent after 30 days.

Because this assessment is now being carried out through the company record in IUB 24/7, the company administrator should conduct the annual company record update carefully to ensure that the billing contact is current and all information has been entered accurately.

Does the assessment and requirement to submit a DPRS report apply to VoIP, cellular, and multi-phone lines for customers in the state of Iowa?

Iowa Code 477C has required a DPRS assessment from "all telecommunications carriers" that provide service in Iowa for many years. While the assessment method changed in 2018, it did not change the underlying requirement. In addition, this same legislation amended language in Iowa Code chapter 476 and specifically under subsection 95, which confirms that the IUC has the authority to assess VoIP service for DPRS assessments.

Assuming your company fits the definition provided in Iowa Code 477C, your company should be completing the DPRS reporting and assessment form and making the assessment payments.

What type of phone lines must be reported?

Pursuant to an IUCB order issued July 3, 2018, in Docket No. RMU-2016-0025, a "telecommunications service phone number" is defined as a revenue-producing phone number. It does not matter whether the line is used for a part of the year or a part of the month. If the line is revenue-producing during the month, it is to be counted.

Are there a minimum number of lines needed before triggering the requirement to submit a DPRS report?

No, there is no de minimis threshold to submit the DPRS report.

Can a company file for both wire-line and wireless lines on one form? Can a parent company file one report covering all subsidiaries?

If a company offers multiple service types under the same legal entity, they may be reported altogether. If a parent company splits its lines of business into distinct legal entities, each subsidiary offering a regulated service subject to the assessment should have a unique profile, company number and report individually. If an entity reports under a different company number, it must provide an explanation in the comment box provided with the DPRS report.

What method of payment is accepted for the assessment?

Payments must be made by ACH debit or credit/debit cards (American Express, Discover, MasterCard, and Visa only). US Bank assesses fees of \$0.29 for ACH debit and 2.5% for credit/debit transactions. An ACH credit initiated by the utility to the IUC's financial institution is not a payment option. Payments may only be made through the link in the email from the IUC.

Please contact IUC Customer Service at 515-725-7300 or email billing@iuc.iowa.gov if you are unable to make payments electronically.

If you are making payment by ACH debit and your company has a debit block on its bank account, it is CRITICAL that you notify your financial institution to provide the IUC's ACH company identification numbers of 426004579Q and 426004579R in order to bypass the block and debit your account. Once you have notified your financial institution, please wait two business days before completing payment.

Are we required to fill out the DPRS assessment form online, or can we use a paper form?

The IUC no longer accepts paper forms. All companies are required to complete and submit the assessment form online, within their company profile.

How do I know if my assessment form was submitted to IUC?

An email confirmation will be sent to the email address entered.

How do I know if my assessment payment went through?

You should receive a payment confirmation email from US Bank. If you are still uncertain, email billing@iuc.iowa.gov. Be sure to include the following information:

First and Last Name Company Name and Company Number Email Address Explanation of the issue

Are the line counts that I submit held confidential by the IUC?

Yes, the IUC made a determination that line counts will be held confidential.

I submitted a form and realized I made a mistake; what do I do?

Please update the information in IUB 24/7 and email billing@iuc.iowa.gov with the following information:

First and Last Name Company and Company Number Email Address Explanation of the issue

My company is discontinuing service in Iowa and will not be completing a DPRS report the following year. How do we file the final DPRS report for a partial year?

When your organization's profile is requested to be made "Inactive" in IUB 24/7, you will be prompted to report DPRS line counts for the current partial year. From there, the IUC will verify that the company does not have any other outstanding reports or invoices before acknowledging your request to leave business in the state and will provide directions to complete such items, as warranted.

Where can I find more information about the DPRS assessment?

The IUC's DPRS webpage, under the Regulated Industries navigation tab at <u>iuc.iowa.gov</u>, contains information about the DPRS assessment.